

THE AUSTRALIAN NATIONAL UNIVERSITY

*Programs and Awards Statute 2006*

**RESEARCH AWARDS RULES (No. 2) 2009**

The Council of The Australian National University makes these Rules under section 8 of the *Programs and Awards Statute 2006*.

Dated: 4 December 2009.

Kim Beazley AC  
Chancellor

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## RESEARCH AWARDS RULES 2009

### PART 1: PRELIMINARY

#### 1.1 Name of Rules

1.1(1) These Rules are the Research Awards Rules (No. 2) 2009.

#### 1.2 Commencement

1.2(1) These Rules commence on the day after they are registered.

#### WHAT THESE RULES DO

These Rules are intended to set up the platform upon which all of the University's graduate research programs are based.

In these Rules you will find generally applicable information about pursuing a graduate research program at the University.

Some parts of these Rules refer only to specific programs. The Rules indicate when this is so.

Other details about specific programs may be found in Orders and in administrative directions and information available from the ANU College concerned.

#### 1.3 Application

1.3(1) These Rules apply in relation to all academic graduate research programs commenced or continued on or after the commencement of these Rules.

#### 1.4 Interpretation

1.4(1) In these Rules, unless the contrary intention appears:

**academic section** means a department or, if there is no relevant department, a group of academic staff within the ANU College or other part of the University in relation to which the term is used;

**Appeal Committee** means the Committee established under rule 5.2;

**approved form** means a form approved by the Registrar;

**award** means a degree of Doctor of Philosophy, a professional doctorate by research or a degree of Master of Philosophy mentioned in the Schedule;

**candidate** means a student who is a candidate for an award;

**course** means a subject of scholarly study taught:

- (a) in a connected series of classes or demonstrations; or

- (b) by means of practical work, including the production by students of essays or theses or case studies or the attendance and participation by students in seminars or workshops;

**Delegated Authority**, for a program for a candidate, means a person appointed under rule 1.6 for the purpose;

**program leave of absence** means leave of absence granted under rule 2.29;

**professional doctorate** means a program mentioned in Part 2 of the Schedule;

**program** means a program of research, or research and coursework, undertaken to qualify for a graduate research award;

**research degree** means the degree of Doctor of Philosophy, a professional doctorate by research, the degree of Master of Philosophy or another degree of Master by research;

**status** means recognition of a course or other relevant graduate work undertaken at the University or at a similar institution towards a student's program, and includes advanced standing and recognition of prior learning;

**thesis** means original written work that:

- (a) incorporates an account of research done during a program and its result; and
- (b) if the research is on more than one topic, demonstrates the relation between the topics; and
- (c) is required to be provided by a candidate for the program;

except written work comprising answers to a written examination or tasks required to be performed for the assessment of performance in coursework;

**thesis in an alternative format** has the meaning given in subrule 3.3(6);

**written work**, for a thesis, includes video recordings, film or other works of visual or sonic arts submitted by a candidate for examination.

1.4(2) Notwithstanding anything else in these Rules, if the Deputy Vice-Chancellor arranges for a dual or joint program to be offered in conjunction with another tertiary education institution, the legislation of the University, including these Rules and the Orders, applies to a student undertaking the program who is located at the University together with any additional requirements set out in the arrangement with the other institution.

## **1.5 Awards that may be conferred**

1.5(1) An award mentioned in the Schedule may be conferred.

1.5(2) The awards set out in the Schedule are specified for the purposes of section 3 of the *Programs and Awards Statute*.

## **1.6 Delegated Authority: appointment**

1.6(1) The Education Dean of an ANU College may appoint a member of the staff of the ANU College to be a Delegated Authority for the purposes of these Rules.

## **PART 2: GENERAL**

### *Division 2.1: Admission*

#### **2.1 Admission to program**

2.1(1) A person wishing to pursue a program must apply in an approved form to the Registrar to be admitted as a candidate and must give the Registrar any other information required by the Registrar.

- 2.1(2) The minimum requirement for admission to a program leading to a research degree is:
- (a) a degree of bachelor with first class honours or upper second class honours of an Australian university; or
  - (b) such other qualification (being a qualification that is equivalent or superior to such a degree) as the Delegated Authority determines.

#### **2.2 Admission: conditions**

2.2(1) In appropriate circumstances, the Delegated Authority may require a candidate to have relevant professional experience or admit a person to candidature conditionally.

2.2(2) Except with the permission of the Delegated Authority, a candidate must not enrol in, or pursue, another program leading to a graduate or undergraduate award (however described) in the University or in another tertiary education institution.

2.2(3) Unless the Deputy Vice-Chancellor otherwise determines, an applicant for admission to a program must satisfy any English language requirements determined by the Deputy Vice-Chancellor for the program.

2.2(4) To avoid doubt, if a person presents or relies on false or misleading information in relation to his or her application for admission, (whether the person has enrolled or not) the Delegated Authority may:

- (a) withhold or withdraw the person's admission; or
- (b) direct the Registrar to withdraw a notice of admission given under subrule 2.3(1).

#### **2.3 Admission**

2.3(1) The Delegated Authority may admit a person to a program, or to part of a program, under this Division:

- (a) if the person satisfies the minimum requirement for the admission set out in subrule 2.1(2); or
- (b) if the person does not satisfy the prescribed minimum requirement for admission to that program (and may impose conditions in relation to that admission as the Delegated Authority thinks fit).

2.3(2) In exercising his or her power under subrule 2.3(1), and without limiting that power, the Delegated Authority may:

- (a) admit as a part-time candidate for a program for the degree of Doctor of Philosophy a person who satisfies the prescribed minimum requirement for admission;
- (b) admit as a full-time or part-time candidate for a program a person who was, immediately before applying for admission, pursuing another graduate or

undergraduate award program in the University or in another tertiary education institution and who intends to continue pursuing that other program, and may impose such conditions on the person's admission as the Delegated Authority thinks fit.

[Note: If a candidate is admitted to a program under paragraph 2.3(2)(b), he or she may be granted status under Division 2.2 for work performed before that admission for another program referred to in that paragraph.]

## **2.4 Review of Admission Decisions**

2.4(1) A person may apply for a review of a decision not to admit the person to a program.

2.4(2) A request for review of a decision referred to in subrule 2.4(1) must be in writing and must be lodged with the Registrar within the period of 20 working days commencing on the date of advice of the outcome of the person's application for admission.

2.4(3) The review must be conducted under procedures published by the Education Dean.

2.4(4) On the basis of the material included in the application for a review, the Education Dean may:

- (a) confirm the original decision not to admit the person to the program concerned; or
- (b) set aside the original decision and refer the matter to Delegated Authority for further consideration and determination, or admit the person to the program, as the case requires.

2.4(5) The decision of the Education Dean and the reasons for the decision must be given in writing to the applicant by the Education Dean within 7 working days of the decision.

2.4(6) However, if a request for a Review of a decision referred to in subrule 2.4(1) relates to a direction by a Delegated Authority that admission be withdrawn and the person making the request is already enrolled under these Rules, the person is entitled to remain enrolled pending the outcome of the Review and any subsequent appeal.

## **2.5 Appeals**

2.5(1) A person may appeal to the Deputy Vice-Chancellor on procedural grounds only against a decision made under subrule 2.4(4).

2.5(2) An appeal mentioned in subrule 2.5(1) must:

- (a) be in writing; and
- (b) be lodged with the Deputy Vice-Chancellor within 20 working days commencing on the date the advice of the decision of the Education Dean was given to the applicant under subrule 2.4(5), unless the Deputy Vice-Chancellor extends the date for submission on special grounds; and
- (c) be accompanied by appropriate information and documentation; and
- (d) state clearly the grounds on which the application is based.

2.5(3) An appeal must be conducted under procedures established by the Deputy Vice-Chancellor who may inform himself or herself as he or she sees fit.

2.5(4) At a hearing, if any, for an appeal, the appellant is entitled to be accompanied by another person who may observe the proceedings but not act as an advocate unless expressly invited by the Deputy Vice-Chancellor to do so.

2.5(5) On the basis of the material included in the application, the Deputy Vice-Chancellor may:

- (a) confirm the decision not to admit the person to the program concerned; or
- (b) set aside the original decision and refer the matter to the Education Dean to:
  - (i) reconsider the original decision in light of the Deputy Vice-Chancellor's findings; and
  - (ii) make a new decision.

2.5(6) The decision of the Deputy Vice-Chancellor and the reasons for the decision must be given in writing to the applicant within 7 working days of the decision.

2.5(7) The decision of the Deputy Vice-Chancellor is final.

## **2.6 Enrolment**

2.6(1) Before a person is enrolled in a program, the Delegated Authority must determine for the person:

- (a) the nature of the program to be pursued, including the topic or topics of any thesis and the content of any coursework; and
- (b) the academic section or sections in which the program is to be pursued; and
- (c) the names of the person's supervisors or advisers, appointed under Division 2.6; and
- (d) the nature of any examination (within the meaning of Part 4) which the person is required to undertake.

2.6(2) The number of courses that a candidate may take in a semester, year or other teaching period may be determined by the Delegated Authority responsible for the candidate's program.

2.6(3) The Delegated Authority must notify the candidate concerned and report a determination and any variation of a determination made under this rule to the Registrar within a reasonable time after making it.

### *Division 2.2: Status*

## **2.7 Status**

2.7(1) The relevant Education Dean may determine the limits within which status for relevant work performed at the University or elsewhere may be granted in relation to a particular award or course.

2.7(2) Within those limits, the Delegated Authority may determine, in relation to a particular candidate, conditions on which status may be granted to the candidate.

2.7(3) If a candidate for a research degree:

- (a) is required to undertake coursework for the program leading to that award; and
- (b) successfully undertakes the coursework but fails the thesis required to obtain the award;

the relevant Delegated Authority may approve status for the coursework for another relevant award program.

2.7(4) In appropriate circumstances, the Delegated Authority may grant status conditionally.

#### *Division 2.3: Commencement of program*

### **2.8 Commencement of program**

2.8(1) The date on which the candidate's program is to be regarded as having commenced is the date of the candidate's enrolment in the program.

#### *Division 2.4: Transfer between programs*

### **2.9 Transfer: general**

2.9(1) A candidate seeking to transfer between programs must apply in writing to the relevant Delegated Authority to do so.

2.9(2) The Delegated Authority may permit an applicant under subrule 2.9(1) to transfer between programs.

### **2.10 Transfer: from professional doctorate to degree of Master etc**

2.10(1) If the Education Dean has not determined that a candidate for a professional doctorate by research has failed the professional doctorate program, the candidate may request the relevant Delegated Authority under the Graduate Coursework Awards Rules for transfer under those Rules to a program for a Degree of Master, or other award, by coursework or coursework and research with status for all work completed.

2.10(2) In this rule, a reference to a candidate failing a professional doctorate program includes a reference to the Delegated Authority recommending that the candidate not be granted the award.

### **2.11 Transfer: from degree of Master by research to professional doctorate**

2.11(1) The Delegated Authority may admit as a candidate for a professional doctorate a person who, immediately before that admission, was a candidate for a degree of Master by research.

### **2.12 Transfer: from degree of Doctor of Philosophy to degree of Master of Philosophy**

2.12(1) If:

- (a) a candidate for the degree of Doctor of Philosophy submits a thesis for examination in accordance with Part 4; and
- (b) the relevant Education Dean requires the candidate to be re-examined by resubmission of thesis or decides that the candidate not be awarded the degree of Doctor of Philosophy;

the candidate may, within the period of 12 months beginning on the day on which the requirement or decision was made, apply to be admitted as a candidate for the degree of Master of Philosophy.

[Note: See also rule 4.10.]

2.12(2) However, if:

- (a) a candidate referred to in subrule 2.12(1) appeals against a requirement or decision referred to in paragraph 2.12(1)(b); and
- (b) the Appeal Committee appointed to hear and determine the appeal makes a decision under that Part dismissing the appeal and affirming the requirement or decision;

the candidate may apply under subrule 2.12(1) within the period of 12 months beginning on the day on which that decision was made.

### **2.13 Transfer: from degree of Master of Philosophy to degree of Doctor of Philosophy**

2.13(1) The Delegated Authority may admit as a candidate for the degree of Doctor of Philosophy a person who, immediately before that admission, was a candidate for the degree of Master of Philosophy.

### **2.14 Transfer: from degree of Master by research etc to Graduate Diploma or Certificate**

2.14(1) If a person wishes to transfer candidature under the Graduate Coursework Awards Rules from a program for a degree of Master by research to a graduate diploma or graduate certificate offered by the University, the Delegated Authority under those Rules may, subject to the requirements and on the terms and conditions as the Delegated Authority determines, admit the person as a candidate for the graduate diploma or certificate.

### **2.15 Transfer: from full-time candidature to part-time candidature etc.**

2.15(1) The Delegated Authority may permit a full-time candidate to pursue his or her program as a part-time candidate or a part-time candidate to pursue his or her program as a full-time candidate.

## *Division 2.5: Limitations on Admission*

### **2.16 Limit on obligation**

2.16(1) If a person seeking acceptance into a program, or part of a program, satisfies or exceeds the minimum requirement for admission to the program, the Delegated Authority is not obliged to admit the person to the program, or the part of the program, only because the person has satisfied the minimum requirement for admission.

### **2.17 Limit on number of places**

2.17(1) The appropriate Education Dean may decide how many places are available in any enrolment period for new candidates for a program leading to a research degree.

## **2.18 Restriction on other study**

2.18(1) A person must not, at any time after admission, begin to pursue another award program in the University or in another tertiary education institution without the written permission of the Delegated Authority.

2.18(2) A person who indicates in an application for admission that the person does not intend to continue pursuing another award program in the University or in another tertiary education institution must not, at any time after admission, continue to pursue that other award program without the written permission of the Delegated Authority.

### *Division 2.6: Supervision*

## **2.19 Supervisors: interim appointment**

2.19(1) Before a supervisor is appointed under rule 2.20, the Delegated Authority, or a provisional supervisor appointed by the Delegated Authority, has the responsibility of overseeing the candidate's candidature from admission until the appointment of the chair of the supervisory panel or primary supervisor whichever first occurs.

## **2.20 Supervisors: appointment**

2.20(1) For a candidate for the degree of Doctor of Philosophy, the Delegated Authority must, within 3 months (or such further time as the Delegated Authority determines) of the admission of the candidate to the program, appoint a primary supervisor for the candidate for that program.

2.20(2) For a candidate for the degree of Doctor of Philosophy, within 3 months (or such further time as the Delegated Authority determines) of the admission of the candidate to the program, a panel comprised of at least 1 supervisor together with not less than 2 other supervisors or advisers must be appointed by the Delegated Authority.

2.20(3) A primary supervisor appointed under subrule 2.20(1) may also be the Chair of the panel referred to in subrule 2.20(2).

2.20(4) For a candidate for a professional doctorate by research or for the degree of Master of Philosophy, the Delegated Authority must, before the admission of the candidate to the program, appoint one or more supervisors for the candidate for that program.

2.20(5) If a sole supervisor is, or is to be, absent from the University or is unable to perform the duties of a supervisor, for more than 4 consecutive weeks, the Delegated Authority must appoint a person to act as the supervisor for the period of the absence.

## **2.21 Chair of supervisory panel and primary supervisor**

2.21(1) Within one month (or such further time as the Delegated Authority determines) of admission to candidature for a candidate for a degree of Doctor of Philosophy, the Delegated Authority must appoint a Chair of the candidate's supervisory panel.

2.21(2) The Chair of a candidate's supervisory panel has primary responsibility for the coordination of all aspects of the candidate's program and for convening meetings of the panel.

2.21(3) A panel must meet twice in each year, unless the Delegated Authority determines otherwise, but in any case not less than annually.

2.21(4) The candidate's primary supervisor has responsibility for the provision of particular advice about major research aspects of the candidate's candidature.

2.21(5) The chair of a supervisory panel may also, in appropriate circumstances, be the candidate's primary supervisor.

## **2.22 Supervisors and supervision**

2.22(1) At least 1 supervisor for a candidate must be a member of the academic staff of the University employed by the University full-time, or part-time on at least a 50% basis.

2.22(2) If practicable, the Chair of the supervisory panel for a research award student is to be a member of the academic staff of the University employed by the University full-time, or part-time on at least a 50% basis.

2.22(3) However, with the approval of the Delegated Authority, a part-time member of the academic staff of the University who is employed in that capacity on less than a 50% basis may be appointed as the Chair of a supervisory panel.

2.22(4) Also, a person holding full or adjunct academic status, an Emeritus Professor or Emeritus Fellow of the University, or a person with recognised honorary academic status within the University, may, with the approval of the Delegated Authority, be appointed as a supervisor, or as the Chair of a supervisory panel, for a research award student.

2.22(5) The appointment of a supervisor or the Chair of a supervisory panel other than a person referred to in subrule 2.22(2), 2.22(3) or 2.22(4) must be approved by the appropriate Education Dean.

2.22(6) If a person referred to in subrule 2.20(4) or 2.20(5) is appointed as a supervisor or the Chair of a supervisory panel, it is a condition of the appointment that the appointee carry out the same functions and undertake the same responsibilities as a staff member appointed to supervise a student, or as the Chair of a supervisory panel, as the case requires.

2.22(7) Subject to the direction of the Delegated Authority, a supervisor must supervise the candidate's program and the candidate's observance of these Rules and, as far as possible, enable the candidate to obtain appropriate advice on any matter relevant to the program.

2.22(8) A supervisor who considers that a candidate has failed to pursue the candidate's program satisfactorily, or has not observed these Rules, must report accordingly to the Delegated Authority.

## **2.23 Advisers**

2.23(1) The function of an adviser is to advise a candidate, at the request of the candidate, on any matter relating to the candidate's program.

2.23(2) Unless the appropriate Education Dean approves otherwise, the Delegated Authority must, in relation to a candidate for the degree of Doctor of Philosophy:

- (a) for whom 1 supervisor is appointed under this Division—appoint not less than 2 advisers; and
- (b) for whom 2 supervisors are appointed under this Division—appoint not less than 1 adviser.

2.23(3) However, if 3 or more supervisors are appointed in relation to any candidate, the Delegated Authority may appoint 1 or more advisers in relation to the candidate.

*Division 2.7: General Provisions Applying to Awards*

**2.24 Duration of standard program**

2.24(1) A program may be pursued full-time or part-time.

2.24(2) The standard enrolment for a full-time student is 48 weeks per calendar year of the program, or 48 units.

2.24(3) The standard enrolment for a part-time student is 48 weeks per calendar year of the program, or 24 units.

2.24(4) The duration of a research program is measured in units, each unit representing:

- (a) in the case of a full-time candidate—1 week's research effort; or
- (b) in the case of a part-time candidate—2 weeks' research effort.

**2.25 Pursuit of program**

2.25(1) A candidate must pursue the program to the satisfaction of the Delegated Authority.

2.25(2) The pursuit of a program by a candidate includes the right to use the facilities of the University if such use is reasonable having regard to the nature of the program.

**2.26 Attendance at the University**

2.26(1) A program must be pursued at the University or at another place approved by the Delegated Authority.

[Note: The other place need not be a university.]

2.26(2) However, approval is not to be given for the completion of all or part of a program outside the University unless the Delegated Authority is satisfied that, if the part of the program pursued outside the University is pursued at another tertiary institution:

- (a) any courses to be attended are substantially comparable in quality with equivalent courses offered by the University for the program; or
- (b) the institution concerned has satisfactory research facilities for the purposes of the program;

as the case requires.

[Note: Rule 3.5 deals with attendance at the University for PhD students.]

**2.27 Language of instruction**

2.27(1) A program is to be conducted in the English language unless the Deputy Vice-Chancellor determines otherwise.

**2.28 Expiration of program**

2.28(1) A program expires when it has been pursued for its maximum duration under these Rules.

2.28(2) If, before a program otherwise expires:

- (a) a candidate withdraws from the program; or
- (b) the candidate's candidature is terminated; or

(c) the candidate has completed the coursework requirements (if any) and has submitted his or her thesis for the relevant award;  
the program is taken to have expired on the date of that withdrawal, termination, completion or submission as the case requires.

### **2.29 Program leave of absence**

2.29(1) The Delegated Authority may grant a candidate program leave of absence from the candidate's program for a research award for such period as the Delegated Authority thinks fit.

2.29(2) However, no period of program leave of absence may be less than 1 week for a full-time candidate or 2 weeks for a part-time candidate.

### **2.30 Withdrawal from program**

2.30(1) A candidate may, at any time before the candidate's program expires, withdraw from the program by notice in writing delivered to the Registrar.

### **2.31 Termination of program**

2.31(1) The Education Dean may, on the recommendation of the Delegated Authority, terminate a candidature for a program on the ground that the candidate has failed to pursue the program to the satisfaction of the Delegated Authority, or that the candidate has otherwise failed to comply with these Rules or a condition imposed by the Delegated Authority on the person's admission to candidature.

2.31(2) Before exercising the power referred to in subrule 2.31(1), the appropriate Education Dean must give the candidate an opportunity to make representations, orally or in writing, and must take such representations into account before reaching a decision on the matter.

[NOTE: See rule 4.18 about notification of decisions and Part 5 for matters relating to a candidate's right to appeal.]

### **2.32 Other employment**

2.32(1) A candidate pursuing a full-time program must not engage in more than 6 hours per week of paid employment during the program without the approval of the Delegated Authority.

2.32(2) However, on the recommendation of the candidate's supervisor and with the approval of the Delegated Authority, a candidate pursuing a full-time program may engage in paid employment of more than 6 hours per week but not more than 20 hours per week during the program.

## **PART 3: PROGRAM REQUIREMENTS**

### *Division 3.1: Degree of Doctor of Philosophy*

#### **3.1 Application of Division**

3.1(1) This Division applies to a program for the degree of Doctor of Philosophy and to a candidate pursuing such a program.

### **3.2 Program content**

- 3.2(1) The requirements for a program are as set out in these Rules and the Orders.
- 3.2(2) A program may consist of research into a topic determined by the Delegated Authority, or a combination of research and coursework.
- 3.2(3) However, if the Delegated Authority permits more than one topic, the topics must have a reasonable relationship with each other.
- 3.2(4) A candidate may conduct research alone or jointly with another person.
- 3.2(5) The appropriate Education Dean may determine the coursework requirements of a program.
- 3.2(6) If the Education Dean determines coursework requirements, the Delegated Authority must organise coursework as required and arrange for members of the staff of the University or other qualified persons to conduct the coursework.
- 3.2(7) A program is to contain a research component of at least two-thirds of the total requirements for the degree.
- 3.2(8) Completion of a program for the degree of Doctor of Philosophy requires at least 96 units and, unless otherwise approved by the Deputy Vice-Chancellor, not greater than 192 units.
- 3.2(9) In computing periods of time for completing units (not being coursework units) under this rule, periods of program leave of absence must not be taken into account.
- 3.2(10) To complete the requirements of the program satisfactorily, a candidate must pass the research (thesis) component, and any coursework, or clinical or professional practice that is required, at the standard indicated in the relevant determination.

### **3.3 Review and reporting**

- 3.3(1) Continuation of a person's candidature is subject to the satisfactory completion of reviews of progress as directed by the Delegated Authority.
- 3.3(2) There are to be annual reviews of progress and the first annual review is to be a review of the candidate's thesis proposal and of his or her research progress.
- 3.3(3) At or about the time of the first annual review, following the written and oral presentation, the Delegated Authority, on advice from the supervisory panel, is to write to the candidate, providing reasons for one of the following recommendations:
- (a) that the candidate continue with the degree; or
  - (b) that the candidate be evaluated again after a further period of 6 months; or
  - (c) that the candidate transfer to a degree of Master of Philosophy; or
  - (d) that the candidate's candidature be terminated.
- 3.3(4) A candidate must give a report to the Delegated Authority as directed by the Delegated Authority.
- 3.3(5) If it is intended that a candidate submit for examination a thesis in an alternative format, the format of the thesis must be approved by the Delegated Authority, on the advice of the supervisory panel, at the time of the initial or an annual review of progress.

3.3(6) In this rule, *thesis in an alternative format* includes a thesis comprised of a series of published articles, or a thesis which includes video recordings, film or other works of visual or sonic arts, computer software, digital material, or other appropriate non-written material.

### **3.4 Extension of program**

3.4(1) The Delegated Authority may, on application by a candidate, grant an extension to the duration of a program.

3.4(2) An extension may be for no longer than 6 months for a full-time program, or 12 months for a part-time program (or 24 units in each case), in the first instance.

### **3.5 Attendance**

3.5(1) A candidate must attend the University for 18 months as a full-time candidate or 24 months as a part-time candidate.

3.5(2) However, if a candidate is based outside the University to undertake his or her program, the candidate must spend at least 4 weeks attending at the University during each year of his or her program.

3.5(3) The Deputy Vice-Chancellor may, by Order, set or vary the criteria that constitute attendance at the University.

3.5(4) The Delegated Authority may, in writing, vary the time a particular candidate is required to spend attending at the University, having regard to the circumstances of the case.

[Note: Rule 2.26 deals with attendance at places outside the University, if applicable.]

## *Division 3.2: Professional Doctorates by research*

### **3.6 Application of Division**

3.6(1) This Division applies to programs, and to candidates pursuing those programs, leading to a professional doctorate by research.

### **3.7 Program content**

3.7(1) The requirements for a program are as set out in these Rules and the Orders.

3.7(2) A program of study to which this Division relates consists of research work determined by the Delegated Authority together with any coursework or clinical or professional practice determined by the Delegated Authority.

3.7(3) Also, a program for a professional doctorate by research is to contain a research component of at least two-thirds of the total requirements for the degree, being research into a topic or topics approved by the Delegated Authority.

3.7(4) However, if more than one topic is permitted under subrule 3.7(3), the topics must have a reasonable relationship with each other.

3.7(5) The Delegated Authority must determine the minimum standard of coursework that must be attained before a candidate is granted the award or before the candidate's thesis is examined.

3.7(6) Each determination must be made available to relevant candidates and must contain a description of the program requirements.

3.7(7) A candidate must obtain the approval of the Delegated Authority for the content of the candidate's program and for any change to that content.

3.7(8) Completion of a program for a professional doctorate requires at least 96 units and, unless otherwise approved by the Deputy Vice-Chancellor, not greater than 192 units.

3.7(9) To complete the requirements of the program satisfactorily, a candidate must pass the coursework, the research (thesis) component, and any clinical or professional practice that is required, at the standard indicated in the relevant determination.

3.7(10) In computing periods of time for completing units (not being coursework units) under this rule, periods of program leave of absence must not be taken into account.

### **3.8 Review and reporting**

3.8(1) Continuation of a person's candidature is subject to the satisfactory completion of an initial review of progress at a time, and in a form, directed by the Delegated Authority.

3.8(2) A candidate must give a report to the Delegated Authority as directed by the Delegated Authority.

### **3.9 Extension of program**

3.9(1) The Delegated Authority may, on application by a candidate, grant an extension to the duration of a program for a professional doctorate by research.

3.9(2) An extension may be for no longer than 6 months for a full-time program, or 12 months for a part-time program (or 24 units in each case), in the first instance.

## *Division 3.3: Degree of Master of Philosophy*

### **3.10 Application of Division**

3.10(1) This Division applies to programs, and to candidates pursuing those programs, for the degree of Master of Philosophy.

### **3.11 Program content**

3.11(1) The requirements for a program are as set out in these Rules and the Orders.

3.11(2) A program is to consist of research into a topic determined by the Delegated Authority, provided that, if more than 1 topic is permitted, the topics are to have a reasonable relationship with each other.

3.11(3) However, a program may also include coursework or clinical or professional practice as the Delegated Authority determines, provided that a program contains a research component of at least two-thirds of the total requirements for the degree.

3.11(4) Completion of a program requires at least 48 units and, unless otherwise approved by the appropriate Education Dean, not greater than 96 units.

3.11(5) In computing periods of time for completing units (not being coursework units) under this rule, periods of program leave of absence must not be taken into account.

3.11(6) To complete the requirements of the program satisfactorily, a candidate must pass the coursework, the research (thesis) component, and any clinical or professional practice that is required, at the standard indicated in the relevant determination.

### **3.12 Review and reporting**

3.12(1) Continuation of a person's candidature is subject to the satisfactory completion of an initial review of progress at a time, and in a form, directed by the Delegated Authority.

3.12(2) A candidate must give a report to the Delegated Authority as directed by the Delegated Authority.

### **3.13 Extension of program**

3.13(1) The Delegated Authority may grant an extension to the duration of a program.

3.13(2) An extension may be for no longer than 3 months for a full-time program, or 6 months for a part-time program (or 12 units in each case), in the first instance.

## **PART 4: EXAMINATIONS**

### *Division 4.1: Preliminary*

#### **4.1 Re-enrolment: extension of program**

4.1(1) A candidate who is granted an extension of his or her program, or is given the opportunity to revise and resubmit the candidate's thesis, must remain enrolled until:

- (a) the student submits the thesis for examination or re-examination and completes any other required work; or
- (b) the student withdraws from candidature; or
- (c) the student's candidature is terminated by the University; or
- (d) the program has been pursued for its maximum duration.

#### **4.2 Methods of examination**

4.2(1) Research is to be examined by submission and assessment of a thesis based on that research, together with oral or written examinations, or both, related to such assessment, and if the program consists of research only, such examination is the examination for the program.

4.2(2) Coursework is to be examined by written examination in accordance with the Examinations Rules which are to be applied as nearly as possible in relation to examinations for coursework, with such necessary or convenient modifications, additions and omissions as are determined by the Delegated Authority.

4.2(3) If a program for a research degree comprises research and coursework:

- (a) the candidate may be examined for the program on the research only; or

- (b) the candidate may be required to pass a written examination on the coursework before being examined on the research, but the examination for the program is to be on the research only; or
- (c) the candidate may be examined for the program on the combined results of examination of research and coursework.

4.2(4) A Delegated Authority may require a candidate pursuing a program by research only to submit to an examination not less than 12 months after the commencement of the program, not being an examination for the award, and the Delegated Authority may determine the form of the examination.

4.2(5) Theses and examinations are to be written and conducted in the English language, unless the Deputy Vice-Chancellor, on the recommendation of the Delegated Authority, determines otherwise.

4.2(6) Subject to these Rules, the Deputy Vice-Chancellor may, by Order, determine the requirements of an examination, and may give directions as to the conduct of an examination.

4.2(7) However, for a program of study requiring clinical or professional practice, examination of the clinical or professional practice component of the program may be by written or oral examination following the completion of the component, or by assessment reports on an internship or other form of professional practice.

4.2(8) A reference in this rule to a **written examination** includes a reference:

- (a) to the examination of a candidate by reports by the candidate's supervisors about the candidate's performance during:
  - (i) internships; or
  - (ii) other form of professional practice; and
- (b) in relation to a program offered by the School of Art or the School of Music in the ANU College of Arts and Social Sciences, to the examination of:
  - (i) an exhibition; or
  - (ii) a performance; or
  - (iii) a folio of original works.

#### *Division 4.2: Theses*

### **4.3 Theses**

4.3(1) The Deputy Vice-Chancellor may determine the form a thesis is to take and the number of copies that must be submitted.

4.3(2) The Delegated Authority must ensure that a thesis is not accepted for submission if it does not conform with the matters set out in the Deputy Vice-Chancellor's determination.

### **4.4 Examination of theses**

4.4(1) A thesis must incorporate an account of research done during the program and its result and, if the research is on more than 1 topic, must demonstrate the relationship between the topics.

4.4(2) A thesis based upon research conducted jointly with another person must clearly indicate the nature and extent of the candidate's contribution to the research.

4.4(3) A candidate must clearly mark in the thesis, material which has been previously submitted by the candidate for the purpose of obtaining a degree of any university or other tertiary education institution.

4.4(4) A thesis must not be accepted for examination unless, in the opinion of the Delegated Authority, it consists preponderantly of material not previously so submitted.

4.4(5) A thesis that has been published may be submitted in published form, subject to the approval of the Delegated Authority.

4.4(6) Not less than 2 months before submitting a thesis, a candidate must give notice to the Registrar, in the approved form, of intention to submit the thesis.

4.4(7) A candidate must submit the thesis by delivering the requisite number of copies to the Registrar.

#### **4.5 Examiners of thesis**

4.5(1) On the recommendation of the Delegated Authority, the appropriate Education Dean must appoint no fewer than 2 examiners of a thesis.

4.5(2) Unless the Education Dean otherwise determines, at least one of the examiners appointed under this rule must be a person who is not a member of the staff of the University.

4.5(3) At least 2 of the examiners appointed under this rule to examine a thesis for a research award must be chosen so as to be available to conduct an oral examination, unless, in the opinion of the Education Dean, it is not practicable to do so.

4.5(4) A supervisor or a member of a supervisory panel must not be appointed as an examiner under this rule.

4.5(5) A candidate may be invited to suggest or comment on the suitability of possible examiners.

4.5(6) However, the candidate must not participate in the final decision about the appointed examiners.

4.5(7) Except in the course of an oral examination under rule 4.8, the identity of the examiners of a candidate's thesis is not to be revealed to the candidate whose thesis is being examined, or to the other examiners of the thesis, until the final outcome of the examination is determined (and then only with the permission of the examiners).

4.5(8) To resolve ambiguities or disagreements or avoid delays in relation to the examination of a particular candidate, the relevant Education Dean, acting on the advice of the Delegated Authority, may at any time appoint an additional examiner.

4.5(9) The Education Dean may, at the request of an examiner, nominate a person other than a candidate's supervisor to provide further information about the candidate's thesis or, in the case of joint work, the candidate's contribution to the thesis.

#### **4.6 Prohibited communications**

4.6(1) Except in the course of an oral examination under rule 4.8, an examiner must not knowingly communicate directly with:

- (a) a candidate whose work he or she is examining; or
- (b) a supervisor of the candidate.

4.6(2) Except in the course of an oral examination referred to in rule 4.8, a candidate must not communicate directly with an examiner of his or her work.

#### **4.7 Examination required**

4.7(1) A candidate must be given the opportunity to undertake a written examination of the candidate's thesis before the candidate's examiners recommend that the candidate be failed.

4.7(2) However, a candidate may be given the opportunity to undertake an oral examination of the candidate's thesis in addition to, or as a substitute for, the examination referred to in subrule 4.7(1).

4.7(3) Also, subrules 4.7(1) and 4.7(2) do not apply to a candidate if the candidate's examiners unanimously recommend that the candidate be failed.

#### **4.8 Oral examination of thesis**

4.8(1) This rule applies only to the examination of theses for research degrees.

4.8(2) Unless exempted under subrule 4.8(3) or examined under subrule 4.8(7), if a candidate is to be examined orally under subrule 4.7(2), the candidate is to be so examined on the substance of the candidate's thesis and on the candidate's knowledge of its subject background.

4.8(3) The Delegated Authority may, on the recommendation of the examiners, exempt a candidate from oral examination, but if the thesis is based on joint research the Delegated Authority may do so only in exceptional circumstances.

4.8(4) Unless the Delegated Authority otherwise determines, an oral examination must be held in Canberra.

4.8(5) Unless the Delegated Authority otherwise determines, a candidate must be orally examined by at least 2 of the examiners of the thesis.

4.8(6) If an oral examination is to be conducted by less than the total number of examiners for the program, the examiners who are to conduct the oral examination must:

- (a) ascertain from those who are to be absent their wishes as to questions to be put to the candidate; and
- (b) at the examination, put the substance of such questions to the candidate, together with any other questions they think relevant; and
- (c) communicate to the absent examiners a report on the performance of the candidate including the response to questions suggested by those not present.

4.8(7) If, in the opinion of the Delegated Authority, it is not practicable to hold an oral examination and the candidate is not exempted under subrule 4.8(3), the candidate is to be examined by written examination, set by the examiners of the thesis, covering the field which an oral examination would have covered.

4.8(8) If the examiners of the thesis are not satisfied with the candidate's performance at an oral examination or at a written examination held under subrule 4.8(7), they may recommend that the candidate be examined or further examined by written examination set by them, and the Delegated Authority may direct the holding of such examination or further examination.

4.8(9) The candidate's answers to an examination must be sent by the Registrar to the examiners of the thesis and be taken into account by them in the preparation of their reports.

#### **4.9 Assessors: oral examinations**

4.9(1) If the Delegated Authority determines that an oral examination under subrule 4.8(5) is to be conducted by one examiner, the Delegated Authority must appoint an assessor for the purposes of this rule.

4.9(2) Subject to subrule 4.9(3), an assessor is to be appointed from among the senior members of the academic staff of the University, or of another university, who have some knowledge of the subject area of the thesis submitted by the candidate, but are not necessarily specialists in that area.

4.9(3) A staff member is not eligible to be appointed as an assessor in relation to the examination of a candidate if the staff member is a member of the same academic section as the candidate.

4.9(4) An assessor must attend the oral examination of a candidate to observe the way in which the examination is conducted.

4.9(5) The Registrar must give the assessor a copy of the candidate's thesis if the assessor asks for a copy.

4.9(6) An assessor must not participate in the examination of a candidate, but must endeavour to ensure that the oral examination is properly and fairly conducted.

4.9(7) An assessor may submit to the Registrar, in writing, any comments that the assessor wishes to make in relation to the examination.

4.9(8) If an assessor submits comments to the Registrar under subrule 4.9(7), the Registrar must send the comments to the Delegated Authority, and the Delegated Authority must take those comments into account when considering the examiners' reports.

#### **4.10 Examination: degree of Master of Philosophy: former candidates for degree of Doctor of Philosophy**

4.10(1) Notwithstanding anything else in these Rules, a former candidate for the degree of Doctor of Philosophy may submit a thesis for examination under these Rules as part of his or her candidature for the degree of Master of Philosophy:

- (a) whether or not it has been revised since being submitted for examination for the degree of Doctor of Philosophy; and
- (b) whether or not it exceeds the maximum length normally acceptable for theses submitted for such an examination.

[Note: See also rule 2.12.]

4.10(2) If the appropriate Education Dean appoints examiners to examine a thesis referred to in subrule 4.10(1), the Education Dean must, unless the circumstances of the case are exceptional, appoint examiners who were not previously appointed to examine the thesis for the degree of Doctor of Philosophy.

4.10(3) If the examiners appointed to examine a thesis referred to in subrule 4.10(1) have not previously examined the thesis for the degree of Doctor of Philosophy, the examiners must not be advised that the thesis was previously examined under these Rules.

#### **4.11 Recommendations of examiners of theses**

4.11(1) Each examiner of a thesis must submit to the Registrar in an approved form a report on the examination as a whole.

4.11(2) The report of an examiner must recommend:

- (a) that the candidate be granted the award; or
- (b) that the candidate be failed; or
- (c) that the candidate be granted the award subject to corrections or revisions (if any) required by the examiners in the thesis to be made to the satisfaction of the Delegated Authority in the copy intended for deposit with the University Library; or
- (d) that the candidate be permitted to submit a revised thesis for re-examination.

4.11(3) The Registrar must send the examiners' reports on theses to the Delegated Authority.

#### **4.12 Decision about results**

4.12(1) After consideration of the examiners' reports, the Delegated Authority may recommend to the appropriate Education Dean:

- (a) that the candidate be granted the award; or
- (b) that the candidate be granted the award subject to making any minor amendments in the thesis required under paragraph 4.11(2)(c); or
- (c) that the candidate be re-examined by resubmission of thesis or re-examination of coursework or both, as the case requires; or
- (d) that the candidate be failed.

4.12(2) However, if the Delegated Authority is satisfied that the overall results obtained by the candidate, both in the assessment of the thesis and in examination of the coursework and any other examinations not related to the assessment of the thesis, are satisfactory, the Delegated Authority must recommend to the appropriate Education Dean that the candidate be granted the award.

4.12(3) Subject to any appeal, the decision of the Education Dean, having taken into consideration any recommendation of the Delegated Authority, is final.

#### **4.13 Re-examination**

4.13(1) If the Education Dean concerned requires a candidate to be re-examined, the candidate must be re-examined in accordance with subrule 4.13(3).

4.13(2) A candidate is only to be admitted to re-examination once.

4.13(3) Re-examination may be by revision and re-submission of a thesis, or otherwise in accordance with the Examinations Rules or by a combination of either of those methods as the Education Dean determines.

4.13(4) The Education Dean may determine the date for resubmission of a thesis.

4.13(5) The Education Dean may appoint, for the purpose of re-examination of a thesis, all or some of the first examiners for the thesis or additional or other examiners as the Education Dean thinks fit.

4.13(6) Re-examination of a thesis must be conducted as nearly as may be in accordance with this Division, except that a recommendation for re-examination may not be made.

#### **4.14 Amendment of theses**

4.14(1) If a candidate is required, under paragraph 4.12(1)(b), to make minor amendments to his or her thesis, the amendments must be made within 12 months of the candidate being notified of the requirement, unless the Delegated Authority allows a longer period.

[Note: See also rule 4.1 requiring continued enrolment.]

#### **4.15 Revision of theses**

4.15(1) If a candidate is required to be re-examined by re-submission of his or her thesis under this Division:

- (a) the Delegated Authority must appoint an appropriate person to advise the candidate during the revision process; and
- (b) the candidate must revise and resubmit his or her thesis for examination within a period of not more than 1 year from the date on which the decision is notified to the candidate in writing by the Delegated Authority.

4.15(2) An appointment under paragraph 4.15(1)(a) must not be for more than 1 year, but may be extended by the Delegated Authority.

4.15(3) A period under paragraph 4.15(1)(b) may be extended under this rule by the Delegated Authority, but not so that the aggregate of the initial period and any extensions exceed 2 years.

[Note: Rules 2.12 and 4.10 make provision for a candidate for the degree of Doctor of Philosophy to transfer and be examined for the degree of Master of Philosophy.]

#### **4.16 Results of re-examination**

4.16(1) After consideration of the examiners' reports on a re-examination of the candidate and of the observations of the head of the relevant academic section, the Delegated Authority may recommend to the appropriate Education Dean as provided in paragraph 4.12(1)(a) or 4.12(1)(d).

#### **4.17 Candidate's right to appeal**

4.17(1) The hearing and determination of an appeal against a decision to fail a candidate is to be conducted in accordance with the provisions of Part 5.

#### **4.18 Notification of decisions**

4.18(1) If an Education Dean makes a decision:

- (a) terminating a candidature on grounds mentioned in rule 2.31; or
- (b) that a candidate has failed;

the Registrar must notify the candidate in writing of the decision, and must include in the notice:

- (c) a statement to the effect that, subject to these Rules, the candidate is entitled to appeal against the decision; and
- (d) a statement setting out the procedure for lodging an appeal.

4.18(2) The decision of the Education Dean and the reasons for the decision must be given in writing to the applicant by the Education Dean within 7 working days of the decision.

4.18(3) The validity of a decision referred to in paragraph 4.18(1)(a) or 4.18(1)(b) is not affected by a failure to include in a notice, statements in accordance with paragraph 4.18(1)(c) or 4.18(1)(d).

[NOTE: Rule 17 of the Discipline Rules provides for withholding of awards where obligations to the University have not been met.]

#### *Division 4.4: Deposit of Theses*

#### **4.19 Deposit of theses**

4.19(1) When a candidate for a research degree is granted an award, the Registrar must retain and deliver to the University Library the best copy of the thesis or other publication submitted for the award.

4.19(2) The Registrar must retain one copy of a thesis or other publication submitted by a candidate who is not granted the award.

4.19(3) The submission of an otherwise unpublished thesis by a candidate who is granted the relevant award authorises the University to deal with the thesis as follows:

- (a) to make the thesis available in the University Library on demand for the purpose of private study or research; and
- (b) with the candidate's permission, to make the thesis otherwise available in microform, paper or digital format for the purpose of private study or research.

4.19(4) If a candidate is not granted the relevant award, the submission of an otherwise unpublished thesis by the candidate authorises the University to make the thesis available in the office of the Registrar for the purpose of private study and research to any student or member of staff of the University.

4.19(5) However, subrule 4.19(4) does not apply unless:

- (a) after consultation with the Delegated Authority; and
- (b) after considering the views, if ascertainable, and interests of the candidate;

the Pro Vice-Chancellor (Research and Graduate Studies) considers that the interests of scholarship require the thesis to be made so available and that the interests of the candidate will not be unreasonably prejudiced.

4.19(6) However, except at the express request of the candidate concerned, a thesis to which subrule 4.19(3) applies must not, within 12 months after the date on which it was delivered to the University Library, be made available for the purpose of private study or research other than in the University Library.

4.19(7) Notwithstanding anything contained in subrule 4.19(3) or 4.19(4), a candidate may when submitting a thesis, with the consent of and for a period and on conditions specified by the Pro Vice-Chancellor (Research and Graduate Studies), forbid access to an appendix to the thesis marked "confidential appendix".

4.19(8) The Pro Vice-Chancellor (Research and Graduate Studies) must not give consent under subrule 4.19(7) in relation to an appendix to a thesis unless the Pro Vice-Chancellor (Research and Graduate Studies) is satisfied that:

- (a) the appendix contains material obtained on conditions legally requiring the material to be kept confidential; or
- (b) having regard to the circumstances in which, or the conditions on which, the material contained in the appendix was obtained, it is reasonable that the material should be kept confidential.

4.19(9) Notwithstanding anything contained in subrule 4.19(3) or 4.19(4), a candidate may, when submitting a thesis, prohibit for a specified period the disclosure of the thesis or some part of it by the University Library or the Registrar to any person if the Pro Vice-Chancellor (Research and Graduate Studies) certifies that such prohibition for the period indicated is reasonable having regard to the protection of the candidate's interest under laws respecting copyright, designs or patents, or commercially, financially or culturally confidential information.

## **PART 5: APPEALS**

### **5.1 Candidate's right to appeal**

5.1(1) A candidate may appeal to the Deputy Vice-Chancellor against a decision by the Education Dean:

- (a) that the candidate has failed; or
- (b) that the candidate's candidature be terminated.

5.1(2) An appeal under subrule 5.1(1) must be in writing and be lodged with the Registrar within the period of 20 working days commencing on the day on which the candidate was notified of the decision.

[Note: It is intended that the Registrar will, on receipt of the appeal, notify the Deputy Vice-Chancellor of its receipt.]

5.1(3) If the Education Dean decides that a candidate has failed, the Registrar must:

- (a) notify the candidate of the decision;
- (b) give the candidate:
  - (i) a copy of the reports of the examiners of the thesis (if any) and of the results of the examinations following coursework, and any other assessment, prepared so that the identity of the candidate's examiners is not disclosed to the candidate; and
  - (ii) an account of the substance and effect of any observations relating to the candidate submitted to the Education Dean, prepared so that the identity of the candidate's examiners is not disclosed to the candidate;
- (c) inform the candidate to the effect that, subject to these Rules, the candidate is entitled to appeal against the decision; and
- (d) advise the candidate of the procedure for lodging an appeal.

5.1(4) The validity of a decision of the kind referred to in paragraph 5.1(1)(a) is not to be taken to be affected by a failure to comply with subrule 5.1(3).

5.1(5) On application being made in writing to the Deputy Vice-Chancellor by a candidate whose candidature in a program of study or course has been terminated under rule 2.31 the Deputy Vice-Chancellor may direct that the candidate be permitted to continue to undertake the program of study or course pending the determination of an appeal.

5.1(6) However, if an appeal is lodged by a person who was, immediately before the decision against which the appeal is made, enrolled under these Rules, the person is entitled to remain enrolled pending the outcome of the appeal.

## **5.2 Appeal Committee**

5.2(1) If the Deputy Vice-Chancellor receives an appeal under rule 5.1 by a candidate, the Deputy Vice-Chancellor must, after consulting the relevant Education Dean, appoint 3 disinterested members of the full-time academic staff of the University to form an Appeal Committee to hear and determine the appeal.

5.2(2) The members of an Appeal Committee must elect one of their number to be the Chair of the Appeal Committee.

## **5.3 Hearing of appeal**

5.3(1) The procedure to be followed for the hearing of an appeal is to be as the Appeal Committee decides.

5.3(2) An Appeal Committee may inform itself on any matter in relation to an appeal in such manner as it thinks appropriate.

5.3(3) Before determining an appeal by a candidate, an Appeal Committee must provide the candidate with an opportunity to make representations to the Appeal Committee.

5.3(4) An Appeal Committee may, in its discretion, permit the candidate to make either oral or written representations, or both oral and written representations.

5.3(5) At the hearing of a candidate's appeal, the candidate is entitled to be accompanied by a student or a member of the staff of the University who may observe the proceedings but not act as an advocate unless expressly invited by the Appeal Committee.

## **5.4 Decision of Appeal Committee**

5.4(1) After hearing an appeal by a candidate under paragraph 5.1(1)(a), an Appeal Committee must make a decision in writing:

- (a) dismissing the appeal and affirming the decision made by the Education Dean in relation to the candidate;
- (b) requiring that the candidate be re-examined; or
- (c) recommending that the candidate be granted the award.

5.4(2) If, under paragraph 5.4(1)(b), an Appeal Committee requires that a candidate be re-examined:

- (a) the candidate must be re-examined in accordance with such of these Rules as are relevant, as if the Education Dean had required the re-examination under rule 4.13; and
- (b) the Appeal Committee has, in relation to the candidate, all the powers and duties of the Education Dean in relation to the determination of the conditions of the re-examination and the appointment of examiners.

5.4(3) After hearing an appeal by a candidate under paragraph 5.1(1)(b), an Appeal Committee must make a decision in writing in the case of an appeal against a decision to terminate the candidate's candidature:

- (a) dismissing the appeal and affirming the decision; or

- (b) requiring that the candidate be permitted to continue the program subject to such conditions, including conditions as to the duration of the program, as the Appeal Committee, after consultation with the Education Dean, determines.

5.4(4) However, if the members of an Appeal Committee are divided in opinion as to the decision to be made in relation to the candidate who made an appeal under subrule 5.1(1):

- (a) if there is a majority of one opinion, the question must be decided according to the opinion of the majority; and
- (b) in any other case, the initial decision in relation to the candidate must be affirmed.

5.4(5) A student may appeal against a decision made under these Rules by an Education Dean or by a Delegated Authority to the Deputy Vice-Chancellor on procedural grounds.

5.4(6) For an appeal under subrule 5.4(5), the Deputy Vice-Chancellor may:

- (a) confirm the decision; or
- (b) set aside the original decision and refer the matter to the Education Dean to:
  - (i) reconsider the original decision in light of the Deputy Vice-Chancellor's findings; and
  - (ii) make a new decision.

5.4(7) In determining an appeal under subrule 5.4(5), the Deputy Vice-Chancellor may inform himself or herself as he or she sees fit.

## **PART 6: MISCELLANEOUS**

### **6.1 Non-award studies**

6.1(1) The Delegated Authority may admit to a non-award program a student not proceeding to an award.

6.1(2) A student admitted to a non-award program must pursue a program under the direction of the Delegated Authority approving the admission for such period and under such conditions as the Delegated Authority determines.

6.1(3) A student admitted to a non-award program under subrule 6.1(1) may apply for admission to a program as a candidate for a relevant award.

6.1(4) If the student is admitted as a candidate for an award under subrule 6.1(3), the student's non-award program ceases.

### **6.2 Adjustment of time limits**

6.2(1) If these Rules fix, or authorise the fixing of, time limits for anything required to be done, the appropriate Education Dean may, from time to time, extend or abridge the time limits in any case if it is just and reasonable to do so, and may do so retrospectively.

6.2(2) The Education Dean concerned must not extend a period fixed for submitting a thesis for a research degree unless the Education Dean is satisfied that the candidate's ability to complete the thesis within the initial period was adversely affected by illness or other extenuating circumstances.

### **6.3 Orders**

6.3(1) The Deputy Vice-Chancellor may make Orders for giving effect to these Rules.

6.3(2) The Deputy Vice-Chancellor or a Delegated Authority may publish information about administrative matters to assist in giving effect to these Rules.

6.3(3) If an Order is an Order to which rule 9 of the Graduate Handbook Rules applies, the Order must be published in the Graduate Handbook before it takes effect.

6.3(4) An Order to which rule 9 of the Graduate Handbook Rules does not apply does not come into operation until after it is registered under the *Legislative Instruments Act 2003*.

### **6.4 Delegation**

6.4(1) The Deputy Vice-Chancellor may delegate all or any of his or her powers under these Rules (except the power to make Orders and this power of delegation) to a member of the academic or general staff of the University.

6.4(2) The Deputy Vice-Chancellor may revoke a delegation under subrule 6.4(1).

### **6.5 Transitional**

6.5(1) A candidate who was granted an extension of time to submit the candidate's thesis under the Degree of Doctor of Philosophy Rules, the Professional Doctorates Rules or the Degree of Master of Philosophy Rules as in force immediately before the commencement of rule 5.5 of the Graduate Awards Rules 2004 may apply for an extension of the duration of his or her program.

6.5(2) For subrule 6.5(1), the Delegated Authority may grant an extension of no longer than 12 months to the duration of a program in the first instance.

6.5(3) The Delegated Authority must permit the continued application of all or any part of Rules repealed under rule 5.7 of the Graduate Awards Rules (No. 2) 2006 to a program for a candidate if that candidate:

- (a) has completed work towards that program; and
- (b) not to do so might disadvantage that candidate.

### **6.6 Application of amending rules**

6.6(1) If an amendment is made to these Rules and that amendment affects a program to which these Rules relate, then, unless the contrary intention appears, the amendment does not apply to a candidate who, before the making of the amendment, has completed any work towards a program unless:

- (a) the candidate elects that the amendment apply to the candidate and submits to the Delegated Authority proposed alterations to the candidate's program and the Delegated Authority approves those alterations; or
- (b) the Delegated Authority otherwise determines.

### **6.7 Repeal and savings**

6.7(1) The Research Awards Rules 2009 as amended and in force immediately before the commencement of these Rules, are repealed.

6.7(2) However, the Research Awards Rules 2009 as amended and in force immediately before the commencement of these Rules continue to apply in relation to any decision taken, or proceeding commenced and not concluded, under those Rules before the commencement of these Rules.

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## **SCHEDULE OF RESEARCH AWARDS OFFERED BY THE UNIVERSITY**

Rule 1.5

### **PART 1 — DOCTORATES (EXCEPT PROFESSIONAL DOCTORATES)**

Doctor of Philosophy (PhD)

### **PART 2 — PROFESSIONAL DOCTORATES**

Doctor of Juridical Science (SJD)  
Doctor of Policy Administration (DPA)  
Doctor of Population Health (DrPH)  
Doctor of Psychology (Clinical) (DPsych(Clinical))

### **PART 3 — JOINT PROGRAMS**

Doctor of Philosophy (in the field of physics) (PhD)\*  
(\*offered jointly with the National University of Singapore)

Doctor of Philosophy (in the field of engineering) (PhD)\*  
(\*offered jointly with the National University of Singapore)

### **PART 4 — MASTERS DEGREES**

Master of Philosophy (MPhil)

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These Rules commenced on 10 December 2009.